



U.S. DEPARTMENT OF STATE

FREEDOM OF INFORMATION ACT (FOIA) ***ANNUAL REPORT for FISCAL YEAR 2023***

FREEDOM OF INFORMATION ACT (FOIA)
ANNUAL REPORT for FISCAL YEAR 2023

The following Freedom of Information Act (FOIA) Annual Report covers the period October 1, 2022, through September 30, 2023, as required by 5 U.S.C. § 552.

I. BASIC INFORMATION REGARDING REPORT

A. Questions about this report may be addressed to:

Timothy Kootz
Director
Office of Information Programs and Services
Global Information Services
A/GIS/IPS
2201 C Street N.W., Suite B266
U.S. Department of State
Washington, D.C. 20520

Telephone: (202) 261-8484

Fax: (202) 485-1669

Website: <https://foia.state.gov/>

B. This report is available on the Department's web site at <https://foia.state.gov/Learn/Reports.aspx>.

C. Paper copies of this report may be requested by contacting A/GIS/IPS at the above address.

II. MAKING A FOIA REQUEST

A. FOIA requests to the Department must be in writing. Requests may be submitted in any of the following ways to the Office of Information Programs and Services (IPS):

- Mail to: Office of Information Programs and Services, A/GIS/IPS, 2201 C Street N.W., Suite B266, Department of State, Washington, D.C. 20520; **or**
- Fax to (202) 485-1669; **or**
- Email to foiarequest@state.gov; **or**
- On-line at the Department's website: <https://foia.state.gov/Request/>

FOIA requests must reasonably describe the Department record(s) that the requester seeks in sufficient detail to enable agency personnel to locate them with a reasonable amount of effort. To the extent possible, requesters should include specific information that may assist the Department in identifying the requested record(s), such as the date, title or name, author, recipient, subject matter, case number, file designation reference number, or timeframe. Requests seeking access to information pertaining to an individual should include the individual's full name, date and place of birth, and citizenship status (if known). A request for records about oneself must include verification of identity. A request for records about an individual other than the requester should include verification of identity with properly executed authorization from the subject individual or evidence of the individual's death, as appropriate, in order to gain the broadest access to those records. More information about

verification of identity and proper authorization may be found on the Department's FOIA website (<https://foia.state.gov/Request/PersonalRecords.aspx>). If such documentation cannot be provided, the request should indicate that fact. The request should also indicate the requester's willingness to pay applicable fees or provide appropriate justification to support a fee waiver. Guidance regarding fees and fee waiver requests is also available on the Department's website.

If mailing or faxing a FOIA request, the envelope or subject line of the fax coversheet should be clearly labeled: "Freedom of Information Act Request." It is important that all requests include a valid daytime telephone number, e-mail address, or both, where the Department can reach the requester to discuss the request as necessary.

Requests may also be made on-line at the Department's FOIA website at <https://foia.state.gov/Request/>. This site contains helpful tips to assist requesters in formulating their requests and information on how requesters can register to create an online profile and request records online using the [Public Access Link \(PAL\)](#) portal. This portal allows members of the public to access previously requested records, submit a FOIA request, and check the status of their outstanding request(s).

The Department can only process requests that comply with its published regulations. The Department is unable to process requests that do not contain enough information to permit the Department to locate the requested records or

that otherwise do not comply with Department regulations. If after receiving a request the Department determines that the request does not reasonably describe the records sought, the Department will inform the requester that the request is insufficient and may ask for additional information. If a request does not reasonably describe the records sought, the agency's response to the request may be delayed.

If the Department makes an adverse determination denying a request in any respect, it will notify the requester of that determination in writing. Adverse determinations, or denials of requests, include decisions that: the requested record is exempt from disclosure, in whole or in part; the request does not reasonably describe the records sought; the information requested is not a record subject to the FOIA; the requested record does not exist, cannot be located, or has been destroyed; or the requested record is not readily reproducible in the form or format sought by the requester. Adverse determinations also include denials involving fees or fee waiver matters or denials of requests for expedited processing.

If information is withheld, the requester will be notified of the approximate amount of information withheld, the basis for the withholding, and how to file an administrative appeal. For more information about filing an appeal, please visit the Department's website at <https://foia.state.gov>. You may also contact the Department's FOIA office by calling (202) 261-8484, or writing to the address below:

Office of Information Programs and Services
A/GIS/IPS
2201 C Street N.W., Suite B266
Department of State
Washington, D.C. 20520

The Office of Inspector General (OIG) is a separate component within the Department of State. The OIG directly responds to requests for records that are exclusively OIG-related, such as those related to OIG inspections, audits, or investigations.

FOIA requests for OIG records must be made in writing by mail, e-mail, or fax as follows:

FOIA Officer
Office of General Counsel
Office of Inspector General
U.S. Department of State
1700 North Moore Street
Suite 1400
Arlington, VA 22209

E-mail: FOIA@stateoig.gov

Fax: (703) 284-1866

Phone: (571) 348-2405

Website: <https://www.stateoig.gov/foia>

- B. The Department of State is responsible for formulating and executing U.S. foreign policy and U.S. foreign relations.

Records are maintained domestically and throughout the world at posts, missions, embassies, and consulates. The Department also maintains records of applications from U.S. citizens for U.S. passports, visa requests from non-citizens, and records of its own employees, as well as other types of records. Because of the nature and scope of its records holdings, including classified and other sensitive material, the Department conducts a rigorous review to determine whether any information must be withheld under one of the nine FOIA exemptions. In implementing the Department's mission, the Department works closely with many other federal agencies and international partners. For this reason, the Department often must consult with other, and at times multiple, federal agencies and foreign governments that have an interest in the records, which adds time and complexity to the FOIA process. The exemptions most often relied upon by the Department to withhold information are shown in Table V.B.(3). In addition, the Department receives a large volume of FOIA referrals from other agencies, which also contributes to its FOIA processing workload.

- C. The Department's FOIA regulations are codified at 22 C.F.R. Part 171. These regulations are available through the Government Printing Office's (GPO) website at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse> or on the Department's website at <https://foia.state.gov/>. The most recent fee schedule is found in the Information Access Guide at <https://www.foia.state.gov/Request/Guide.aspx>.

III. ABBREVIATIONS, DEFINITIONS, AND EXEMPTIONS

- A. Agency-Specific Abbreviations and Basic Terms:

1. **A/GIS/IPS** – Bureau of Administration (A), Global Information Services (GIS), Office of Information Programs and Services (IPS).
2. **Consulate** – a type of Post; a Consulate is the office and staff of a Consul, who is a diplomatic representative of the U.S. Government, subordinate to the Ambassador, operating from a facility located within a major city of a foreign country.
3. **Embassy** – a type of Post; an Embassy is the office and staff of the Ambassador, who is the principal diplomatic representative of the U.S. Government, holding Chief of Mission (COM) authority, typically operating from a facility located within the capital city of a foreign country.
4. **Mission** – a Mission is a U.S. Government organization; an embassy, legation, or other organization established and maintained to: 1) conduct bilateral relations between the U.S. Government and another government or authority, or multilateral relations with a group of governments or an international organization, or 2) represent the U.S. Government in negotiations and other diplomatic initiatives that are of specific limited scope or objectives and that have other sovereignties or authorities as parties. The U.S. mission is an integrated structure, headed by a Chief of Mission or equivalent authority.
5. **Post** – a type of Department of State organization; a Post is any Foreign Service diplomatic or consular establishment, or else an establishment having a special designation, maintained in support of a U.S. Mission. It is further designated by a Post type such as Embassy,

Consulate, Branch Office, etc., for diplomatic or consular posts, or as a Specially Designated Post for an establishment that has a special purpose that is not primarily diplomatic or consular.

6. **OIG** – Office of Inspector General.

B. Definitions of terms used in this report:

1. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
2. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, the average of 3, 7, and 14 is 8.
3. **Backlog** – the number of perfected requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
4. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Post, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA requires that agencies include in their FOIA Annual Report data for both the agency overall and for each principal component of the agency.
5. **Consultation** – the procedure whereby the agency responding to a FOIA request forwards information to

another agency for review because the other agency has an interest in the information. When the agency in receipt of the consultation completes its review, it advises the agency that initiated the consultation of its release determination. The initiating agency will then respond to the FOIA requester.

6. **Exemption 3 Statute** – a federal statute that specifically exempts information from disclosure that an agency relies on to withhold information under Exemption 3 of the FOIA, 5 U.S.C. § 552(b)(3), in response to a request. Exemption 3 statutes contain non-discretionary prohibitions against disclosure or establish particular criteria for withholding.

7. **FOIA Request** – an information access request processed under the Freedom of Information Act by the federal agency in receipt of the request. FOIA requests may seek access to records concerning another person (i.e., a “third-party” request), an organization, or a particular topic of interest. FOIA requests also include requests made by individuals seeking records concerning themselves (i.e., “first-party” requests) when those individuals are not subject to the Privacy Act of 1974 (i.e., individuals who are neither U.S. citizens nor lawful permanent residents). Federal agencies process first-party requests submitted by U.S. citizens and lawful permanent residents under both the FOIA and the Privacy Act in order to provide the greatest degree of access to the requested information. Additionally, a FOIA request includes records referred to the agency from another agency for processing and direct response to the requester (see Section V of this report).

8. **Full Grant** – an agency decision to disclose in full all records located in response to a FOIA request.
9. **Full Denial** – an agency decision not to disclose any records in response to a FOIA request because the records are exempt in their entirety under one or more of FOIA’s nine exemptions, because the existence or non-existence of records is exempt under one or more of FOIA’s nine exemptions, or because of a procedural reason, such as when no records could be located.
10. **Median Number** – the middle, not average, number. For example, the median of 3, 7, and 14 is 7.
11. **Multi-Track Processing** – a system in which requests are placed in different processing tracks based on the complexity of the search and/or review of the responsive material. For example, requests placed in the simple request track are anticipated to require relatively minimal search time to locate responsive records, which will result in a small amount of material to review. The complex request track contains requests that require multiple searches and/or are anticipated to locate more voluminous or complicated responsive records for review. Requests granted expedited processing are placed in a separate track. Requests in each track are processed on a first-in/first-out basis.
 - a) **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - b) **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-

expedited) track based on the low volume and/or simplicity of the records requested.

c) **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

12. **Partial Grant/Partial Denial** – an agency decision to withhold exempt information appearing in a portion or portions of the responsive records in response to a FOIA request, or to otherwise deny a portion of the request for a procedural reason.
13. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
14. **Perfect Request** – a request for records that reasonably describes the requested information and is made in accordance with the agency’s published regulations.
15. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
16. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
17. **Referral** – the procedure whereby the agency responding to a FOIA request forwards information that originated with another agency to that agency for its review. When the originating agency completes its

review of the referred information, it typically responds directly to the FOIA requester.

18. **Time Limits** – the time period contained in the FOIA within which an agency must respond to a FOIA request (ordinarily twenty working days from the date of receipt of a perfected FOIA request).

C. Concise descriptions of the nine FOIA exemptions:

1. **Exemption 1:** classified national defense and foreign relations information
2. **Exemption 2:** information that is related solely to the internal personnel rules and practices of an agency
3. **Exemption 3:** information that is prohibited from disclosure by another federal law
4. **Exemption 4:** trade secrets and other confidential business information
5. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
6. **Exemption 6:** information involving matters of personal privacy
7. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal

privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

8. **Exemption 8:** information relating to the regulation or supervision of financial institutions
9. **Exemption 9:** geological or geophysical information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
DOS - Main	Department of State
OIG	Office of Inspector General

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
10 U.S.C. § 130b	Personally identifiable information pertaining to “any member of the armed forces assigned to an overseas unit, a sensitive unit, or a routinely deployable unit” and “any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit”	<u>Freedom Watch, Inc. v. NSA</u> , 197 F. Supp. 3d 165, 174 (D.D.C. 2016); <u>Hiken v. DOD</u> , 521 F. Supp. 2d 1047, 1062 (N.D. Cal. 2007); <u>O’Keefe v. DOD</u> , 463 F. Supp. 2d 317, 325 (E.D.N.Y. 2006); <u>Windel v. United States</u> , No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).	DOS - Main	1	1
10 U.S.C. § 424	Organization or any function of, and certain information pertaining to, employees of the Defense	<u>Hamdan v. DOJ</u> , 797 F.3d 759, 776 (9th Cir. 2015); <u>Freedom Watch, Inc. v. NSA</u> , 197 F. Supp. 3d 165, 174 (D.D.C. 2016);	DOS - Main	2	2

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
	Intelligence Agency, the National Reconnaissance Office, and the National Geospatial-Intelligence Agency	<u>Wickwire Gavin, P.C. v. Def. Intel. Agency</u> , 330 F. Supp. 2d 592, 602 (E.D. Va. 2004).			
18 U.S.C. § 798 (Espionage Act)	Certain classified information pertaining to the communication intelligence and cryptographic devices of the United States or any foreign government	<u>Larson v. Dep't of State</u> , 565 F.3d 857, 868-69 (D.C. Cir. 2009); <u>N.Y. Times Co. v. DOD</u> , 499 F. Supp. 2d 501, 512 (S.D.N.Y. 2007); <u>Adejumobi v. NSA</u> , No. 07-1237, 2007 WL 4247878, at *3 (M.D. Fla. Dec. 3, 2007), <u>aff'd per curiam</u> , 287 F. App'x 770 (11th Cir. 2008); <u>Winter v. NSA</u> , 569 F. Supp. 545, 546-48 (S.D. Cal. 1983).	DOS - Main	1	1

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	<u>Sinkfield v. HUD</u> , No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); <u>Margolin v. NASA</u> , No. 09-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); <u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), <u>summary affirmance granted</u> , No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	DOS - Main	1	1
50 U.S.C. § 3507 (formerly at 50 U.S.C. § 403g) (Central Intelligence Agency Act of 1949)	Intelligence sources and methods; certain information pertaining to Agency functions or employees, specifically: "the	<u>Assassination Archives & Rsch. Ctr. v. CIA</u> , No. 18-5280, 2019 WL 691517 (D.C. Cir. Feb. 15, 2019) (per curiam); <u>ACLU v. DOJ</u> , 681 F.3d 61,	DOS - Main	2	2

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
	organization or functions of the Agency, or of the names, official titles, salaries, or numbers of personnel employed by the Agency”	72-75 (2d Cir. 2012); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); <u>Makky v. Chertoff</u> , 489 F. Supp. 2d 421, 442 (D.N.J. 2007), <u>aff’d on other grounds</u> , 541 F. 3d 205 (3d Cir. 2008).			
18 U.S.C. § 208(d)(1)	Providing that “a copy of any determination granting an exemption under subsection (b)(1) or (b)(3) [from application of penalties for acts affecting personal financial interests determined to constitute bribery, graft, or conflicts of interest] shall be made available to the public,” but exempting from	<u>Seife v. NIH</u> , 874 F. Supp. 2d 248, 256 (S.D.N.Y. 2012).	DOS - Main	1	1

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
	this disclosure requirement “any information contained in the determination that would be exempt from disclosure under section 552 of title 5”				
50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	<u>CIA v. Sims</u> , 471 U.S. 159, 167 (1985)	DOS - Main	6	6
50 U.S.C. § 3605 (formerly at 50 U.S.C. § 402 note) (National Security Agency Act of 1959)	Information pertaining to the functions or organization of NSA; certain information pertaining to NSA employees	<u>ACLU v. DOJ</u> , 681 F.3d 61, 72- 75 (2d Cir. 2012); <u>Elec. Priv. Info. Ctr. v. NSA</u> , 678 F.3d 926, 931 (D.C. Cir. 2012); <u>Houghton v. NSA</u> , 378 F. App’x 235, 238-39 (3d Cir. 2010) (per curiam); <u>Lahr v. NTSB</u> , 569 F.3d	DOS - Main	1	1

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/ Component	Total Number of Times Relied upon by Agency Overall
		964, 985 (9th Cir. 2009).			
8 U.S.C. § 1202(f) (Immigration and Nationality Act)	Certain records pertaining to the issuance or refusal of visas to enter the United States.	<u>Medina-Hincapie v. Dep't of State</u> , 700 F.2d 737, 741-42 (D.C. Cir. 1983); <u>De Laurentiis v. Haig</u> , 686 F.2d 192, 194 (3d Cir. 1982); <u>Assadi v. Dep't of State</u> , No. 12-1111, 2014 WL 4704840, at *6-7 (S.D.N.Y. Sept. 19, 2014); <u>Badalamenti v. U.S. Dep't of State</u> , 899 F. Supp. 542, 547 (D. Kan. 1995).	DOS - Main	6883	6883

V.A. FOIA REQUESTS — RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
DOS – Main	20,910	15,646	12,536	24,020
OIG	72	67	40	99
AGENCY OVERALL	20,982	15,713	12,576	24,119

After reviewing their databases, DOS – Main and OIG updated the number of requests pending as of the start of the fiscal year.

V.B.(1). DISPOSITION OF FOIA REQUESTS — ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
DOS - Main	372	5,668	1,765	2,481	24	489	0	145	1,182	153	257	0	12,536
OIG	0	8	2	7	20	1	0	0	0	2	0	0	40
AGENCY OVERALL	372	5,676	1,767	2,488	44	490	0	145	1,182	155	257	0	12,576

V.B.(2). DISPOSITION OF FOIA REQUESTS — “OTHER” REASONS FOR “FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS”

Agency / Component	Description of “Other” Reasons for Denials from Chart B(1)	Number of Times “Other” Reason Was Relied Upon	TOTAL
DOS - Main	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS — NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
DOS – Main	20	2	6,896	12	83	709	10	6	100	5	56	4	0	0
OIG	0	0	0	0	2	9	1	0	9	0	1	0	0	0
AGENCY OVERALL	20	2	6,896	12	85	718	11	6	109	5	57	4	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS — RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
DOS – Main	401	415	521	295
OIG	13	1	4	10
AGENCY OVERALL	414	416	525	305

After reviewing their databases, DOS – Main and OIG updated the number of requests pending as of the start of the fiscal year.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS — ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
DOS - Main	409	22	30	60	521
OIG	1	0	2	1	4
AGENCY OVERALL	410	22	32	61	525

VI.C.(1). REASONS FOR DENIAL ON APPEAL — NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
DOS - Main	10	0	264	0	61	72	0	0	62	4	62	1	0	0
OIG	0	0	0	0	0	1	0	0	1	0	0	0	0	0
AGENCY OVERALL	10	0	264	0	61	73	0	0	63	4	62	1	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL — REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
DOS - Main	1	0	7	1	1	0	0	3	3	44	0
OIG	0	0	0	0	0	0	0	0	0	1	0
AGENCY OVERALL	1	0	7	1	1	0	0	3	3	45	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL — “OTHER” REASONS

Agency / Component	Description of “Other” Reasons for Denial on Appeal from Chart C(2)	Number of Times “Other” Reason Was Relied Upon	TOTAL
DOS – Main	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
DOS – Main	18.50	104.44	<1	2,239
OIG	0	0	N/A	0
AGENCY OVERALL	18.50	104.44	<1	2,239

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
DOS - Main	Date of Appeal	2016-02-25	2016-02-23	2016-02-17	2015-09-14	2015-08-21	2015-08-04	2015-06-30	2015-06-11	2015-01-06	2014-10-29
	Number of Days Pending	1,893	1,895	1,899	2,001	2,019	2,032	2,056	2,069	2,077	2,222
OIG	Date of Appeal	2022-04-07	2021-05-04	2019-02-26	2018-12-03	2018-06-25	2018-03-08	2018-01-25	2017-10-31	2017-08-24	2015-12-01
	Number of Days Pending	370	601	1,145	1,201	1,311	1,386	1,415	1,472	1,518	1,951
AGENCY OVERALL	Date of Appeal	2016-02-25	2016-02-23	2016-02-17	2015-09-14	2015-08-21	2015-08-04	2015-06-30	2015-06-11	2015-01-06	2014-10-29
	Number of Days Pending	1,893	1,893	1,899	2,001	2,019	2,032	2,056	2,069	2,077	2,222

VII.A. FOIA REQUESTS — RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
DOS - Main	72	197.1	<1	1859	65	187.95	<1	4206	69.5	385.27	11	2372
OIG	11	31.83	<1	234	21.5	21	12	29	10	10	10	10
AGENCY OVERALL	60	150.1	<1	1859	43.5	160.95	<1	4206	50.5	350.27	10	2372

VII.B. PROCESSED REQUESTS — RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
DOS - Main	208	293.72	2	1,859	65	118.67	<1	4,206	64	375.14	11	2,372
OIG	170	140.83	34	234	29	29	29	29	10	10	10	10
AGENCY OVERALL	180	200	2	1,859	45	100.87	<1	4,206	50	350.14	10	2,372

VII.C. PROCESSED SIMPLE REQUESTS — RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
DOS - Main	180	22	16	20	10	22	13	14	11	10	36	32	99	485
OIG	2	24	4	1	0	0	0	1	0	2	1	0	0	35
AGENCY OVERALL	182	46	20	21	10	22	13	15	11	12	37	32	99	520

VII.C. PROCESSED COMPLEX REQUESTS — RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
DOS - Main	246	714	3,730	2,572	2,025	161	105	85	21	21	96	106	710	10,592
OIG	0	2	2	0	0	0	0	0	0	0	0	0	0	4
AGENCY OVERALL	246	716	3,732	2,572	2,025	161	105	85	21	21	96	106	710	10,596

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING — RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
DOS - Main	7	12	32	21	5	4	3	0	0	1	3	1	43	132
OIG	0	1	0	0	0	0	0	0	0	0	0	0	0	1
AGENCY OVERALL	7	13	32	21	5	4	3	0	0	1	3	1	43	133

VII.D. PENDING REQUESTS — ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
DOS - Main	2,282	326	512	20,780	811	991	330	519	791
OIG	37	460	442	54	366	428	5	845	844
AGENCY OVERALL	2,319	326	512	20,834	811	991	335	519	791

VII.E. PENDING REQUESTS — TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
DOS - Main	Date of Receipt	2007-08-14	2007-08-10	2007-07-30	2007-07-30	2007-07-18	2007-06-28	2007-05-29	2007-05-29	2007-01-08	2007-01-02
	Number of Days Pending	4,023	4,025	4,034	4,034	4,042	4,055	4,077	4,077	4,174	4,178
OIG	Date of Receipt	2020-05-20	2020-05-18	2020-04-15	2019-12-19	2019-12-04	2019-10-17	2019-01-31	2018-11-02	2018-01-10	2017-11-03
	Number of Days Pending	837	839	861	940	951	983	1,162	1,220	1,425	1,469
AGENCY OVERALL	Date of Receipt	2007-08-14	2007-08-10	2007-07-30	2007-07-30	2007-07-18	2007-06-28	2007-05-29	2007-05-29	2007-01-08	2007-01-02
	Number of Days Pending	4,023	4,025	4,034	4,034	4,042	4,055	4,077	4,077	4,174	4,178

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
DOS - Main	206	509	6	15.13	502
OIG	0	9	6	5.11	9
AGENCY OVERALL	206	518	6	15.13	511

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
DOS – Main	397	57	2	20.57
OIG	0	0	N/A	N/A
AGENCY OVERALL	397	57	2	20.57

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
DOS - Main	177	86.86	263.86	64,496,083.00	460,079.29	64,956,162.30
OIG	1	0.00	1.00	177,000.00	61,279.00	238279.00
AGENCY OVERALL	181	86.86	267.86	64,673,083.00	521,358.29	65,194,441.30

This figure represents the true or total cost of FOIA expenses.

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
DOS - Main	0.00	0.0000
OIG	0.00	0.0000
AGENCY OVERALL	0.00	0.0000

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
DOS - Main	0
OIG	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
DOS - Main	4,112	0
OIG	0	0
AGENCY OVERALL	4,112	0

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
DOS - Main	21,533	262
OIG	86	10
AGENCY OVERALL	21,619	272

XII.B. CONSULTATIONS ON FOIA REQUESTS — RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
DOS - Main	206	229	195	240
OIG	4	12	12	4
AGENCY OVERALL	210	241	207	244

After reviewing its database, DOS – Main updated the number of requests pending as of the start of the fiscal year.

XII.C. CONSULTATIONS ON FOIA REQUESTS — TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
DOS - Main	Date	2018-04-04	2018-03-18	2018-02-14	2017-10-17	2017-09-20	2017-08-15	2017-06-29	2017-01-03	2017-01-03	2016-12-12
	Number of Days	1367	1379	1401	1482	1500	1525	1557	1679	1679	1693
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	2023-09-18	2022-02-17	2021-09-29	2020-12-15
	Number of Days	0	0	0	0	0	0	10	404	499	694
AGENCY OVERALL	Date	2018-04-04	2018-03-18	2018-02-14	2017-10-17	2017-09-20	2017-08-15	2017-06-29	2017-01-03	2017-01-03	2016-12-12
	Number of Days	1367	1379	1401	1482	1500	1525	1557	1679	1679	1693

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT
— REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
DOS - Main	13,752	15,646	9,090	12,536
OIG	112	67	77	40
AGENCY OVERALL	13,864	15,713	9,167	12,576

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT
— BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
DOS - Main	18,723	21,533
OIG	42	86
AGENCY OVERALL	18,765	21,619

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT — APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
DOS - Main	416	415	399	521
OIG	3	1	4	4
AGENCY OVERALL	419	416	403	525

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT — BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
DOS - Main	375	262
OIG	12	10
AGENCY OVERALL	387	272